



ADMINISTRATIVE POLICIES  
AND PROCEDURES  
State of Tennessee  
Department of Correction

Index #: 113.81

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Effective Date: February 15, 2022

Distribution: A

Supersedes: 113.81 (5/15/18)

Approved by: Lisa Helton

Subject: MENTAL HEALTH DOCUMENTATION

- I. AUTHORITY: TCA 4-3-603 and TCA 4-3-606.
- II. PURPOSE: To ensure standardized procedures for all required documentation of mental health service delivery.
- III. APPLICATION: All Tennessee Department of Correction (TDOC) employees, including contracted health and mental health care professionals/agencies and privately managed facilities.
- IV. DEFINITIONS:
  - A. Health Record: A chronological documentation of an inmate's clinical history and treatment. The record includes, but is not limited to, documentation of intake health screenings, progress notes, x-ray and laboratory reports, physicians' orders, clinic and infirmary records, medication administration records, treatment plans, immunization records, dental records, hospital and emergency room reports, specialty consultation reports, and mental health records.
  - B. Mental Health Programmatic Record: An extension of the health record which compiles all of the offender's history and progress in treatment, as well as any other documentation pertaining to the programmatic services delivered to the inmate.
  - C. Mental Health Treatment Services: Biological and psychological therapies available to treat mental health disorders that significantly interfere with the inmate's ability to function in prison. Treatment is multidisciplinary, eclectic, and consistent with generally accepted mental health practices and institutional requirements.
  - D. Mental Health Treatment Team: For purposes of this policy, a multidisciplinary team consisting of qualified mental health professionals and mental health adjunct personnel who are responsible for the development, implementation, monitoring, supervision, review, and documentation of a mental health treatment plan for individual inmates/patients who are determined to be in need of mental health services.
  - E. Programmatic Services: Mental health services provided by licensed/qualified mental health professionals and adjunct staff under the guidelines of a structured mental health program, i.e., sex offender, anger management, etc., which address a single treatment issue in a program setting designed to address special treatment needs.
- V. POLICY: Each institution shall utilize a standardized documentation process to provide continuity of care in the delivery of mental health services.
- VI. PROCEDURES:
  - A. General:

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1. All personnel responsible for providing mental health service intervention(s) shall routinely enter into the offender management system (OMS) Mental Health Conversation, LHSM, the appropriate service code(s), and applicable text for each inmate/patient served. Service codes entered into LHSM will be monitored by central office mental health service staff and utilized for periodic reporting. Those providing data entry assistance to contract service providers shall be provided with appropriate OMS access.
  2. Inmates who are diagnosed utilizing the most current DSM standards shall have their diagnosis entered into the OMS, Services Provided (LOEL). Upon completion of a psychiatric/psychological evaluation, a new diagnostic code may be entered for a patient to reflect the most accurate diagnosable disorder. Existing diagnostic entries shall not be modified.
  3. Physician orders shall be documented in accordance with Policies #113.70 and/or #113.71.
- B. Health Record: A health record for each inmate shall be maintained in accordance with Policy #113.50 and shall contain accurate documentation of all health care services provided throughout the inmate's entire period of incarceration. All mental health services documentation will be located in section 10 of the health record and shall be stored in chronological order as it relates to services provided. Providers with illegible signatures must print their names/titles in addition to a handwritten signature. Photocopies of signatures are not acceptable.

Below is a list of mental health forms and documents utilized by mental health staff, mental health consultants, and other applicable personnel. All are reserved for the mental health record.

1. Problem-Oriented - Progress Record, CR-1884
2. Consent for Treatment, CR-1897
3. Monitoring Report, CR-2004
4. Mental Health Screening Report, CR-2629
5. Mental Health Seclusion/Suicide/Restraint Authorization, CR-3082
6. Mental Health Treatment Plan, CR-3326
7. Mental Health Summary, CR-3327
8. Mental Health Treatment Review Committee, CR-3329
9. Mental Health Emergency Medication, CR-3330
10. Certification of Mental Health Emergency, CR-3388
11. Institutional Mental Health Services Referral, CR-3431

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12. Mental Health Psychiatric Update, CR-3487
13. Individual Psychiatry Session - Progress Record, CR-3763
14. Individual/Group Therapy Session-Progress Record Psychology Services, CR-3764
15. Mental Health Discharge Summary, CR-3765
16. Mental Health Treatment Plan Review, CR-3767
17. Mental Health Intake Appraisal and Evaluation, CR-4180
18. Past Mental Health History & Reports
19. Release to Community Mental Health/Agency Documentation
20. Court order for conservator (See Policy #113.89)
21. Mental health information received from other facilities community agencies

C. Mental Health Programmatic Record: The programmatic record shall be utilized as an extension of the health record for the documentation of mental health treatment services. The health record shall continue to be the site for the documentation of mental health clinical services. It is not necessary to create a separate programmatic record for special needs mental health patients at DSNF and DJRC.

1. Each TDOC facility shall develop an in-house procedure to ensure that the institutional records office staff is made aware that a programmatic record exists.
2. Confidentiality and release of programmatic records shall be handled in accordance with Policy #113.52.
3. It is acceptable for an inmate/patient to have more than one programmatic record if he/she is involved in multiple programs. The drug treatment coordinator shall be responsible for maintaining accurate program records.
4. All members of a mental health treatment team shall have access to the programmatic record and shall use this record as the site for documentation of all mental health treatment services delivered to the inmate/patient.
5. All active programmatic records shall be stored in a locked, secure area. Upon an inmate's program completion, all programmatic records shall be merged with the health record.
6. Access to the records shall be strictly controlled:
  - a. A list of TDOC staff who are authorized to access the files shall be maintained with the records by the Behavioral Health Administrator and the Health Services Administrator. Access will only be granted to clinical staff who are involved in the patient's care, the Warden, and the Associate Warden of Treatment.

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- b. Any TDOC staff member seeking access to the records shall secure approval from the treating mental health professional and shall demonstrate a “need to know.”
7. The left section of the opened programmatic record can be used for the placement of the following types of information as deemed necessary by program staff.
  - a. Historical information pertinent to treatment, such as pre-sentence investigation reports, facts of offense, and miscellaneous community treatment reports
  - b. Photocopies of psychiatric/psychological evaluations, assessments, and recommendations
8. The right section of the opened programmatic record (if applicable) is reserved for the following forms:
  - a. Programmatic Daily/Weekly/Monthly Group Summary, CR-3491.
  - b. Psychiatric Daily/Weekly/Monthly Group Summary, CR-3490.
  - c. All progress notes documenting treatment staff contacts, behavioral observations, and services.
  - d. All completed autobiographies, assignments, or related written reports by the inmate, filed with the related progress note(s).
  - e. All programmatic treatment plans and/or Individual Program Plans generated during the course of mental health intervention.
  - f. All mental health treatment team discussions and decisions related to the inmate’s progress in treatment and treatment status.

D. Retention/Disposition:

1. All inactive records of inmates shall be maintained separate from the active inmate records, following the same procedures on storage, access, disposition, and release of the health record as indicated by Policy #113.50. All inactive parole evaluations or forensic evaluations shall be disposed of as indicated in Policy #113.50.
2. When an inmate transfers from a facility, the programmatic record(s) will be forwarded to the receiving facility’s mental health staff immediately.
3. Federally funded treatment programs may require special programmatic record retention and disposition procedures that will be detailed in memorandum format from the respective Central Office Director.
4. Psychological test protocols for intake and other purposes shall be retained at the testing facility in a secure and confidential file for three years.

VII. ACA STANDARDS: 5-ACI-1E-01, 5-ACI-6A-28, 5-ACI-6D-04, 5-ACI-6D-05, 5-ACI-6D-06, and 5-ACI-6D-07.

VIII. EXPIRATION DATE: February 15, 2025



TENNESSEE DEPARTMENT OF CORRECTION  
**PROBLEM ORIENTED – PROGRESS RECORD**

\_\_\_\_\_  
INSTITUTION

INMATE NAME: \_\_\_\_\_ TDOC ID: \_\_\_\_\_

DATE	TIME	

***Do Not Write on Back***



**TENNESSEE DEPARTMENT OF CORRECTION  
HEALTH SERVICES  
CONSENT FOR TREATMENT**

\_\_\_\_\_  
INSTITUTION

Name: \_\_\_\_\_ TDOC ID: \_\_\_\_\_ Date of Birth: \_\_\_\_\_  
                                     Last                      First                      Middle

I hereby authorize \_\_\_\_\_ and assistants to perform the following operation, procedure, treatment, or psychiatric intervention.  
   (Practitioner)

Use Laymans Terms

The nature and extent of the intended operation, procedure, treatment, or psychiatric intervention has been explained to me in detail. I have been advised by \_\_\_\_\_ of the following alternatives, if any, probable consequences if I remain untreated, risks and possible complications of proposed treatment as indicated:  
   (Practitioner)

(Use Lavman's Terms)

I acknowledge that no guarantee or assurance has been made as to the result that may be obtained.  
 If any unforeseen condition arises in the course of the operation calling for the judgment of the practitioner for procedures in addition to or different from those now contemplated, I further request and authorize the practitioner to do whatever is deemed necessary.

I consent to the administration of anesthesia to be applied under the direction and supervision of \_\_\_\_\_.  
   (Practitioner)

I have read and fully understand the terms of this consent and acknowledge that the explanations referred to were made and that all blanks have been filled.

Date: \_\_\_\_\_ Time: \_\_\_\_\_  
   (Signature of Patient)

Witness: \_\_\_\_\_  
   (Signature of Practitioner and Professional Title)                      Date

If the patient is a minor or incompetent to consent:

\_\_\_\_\_  
 (Signature of parent or person authorized to consent for patient)                      Date: \_\_\_\_\_ Time: \_\_\_\_\_ a.m. p.m.

Witness: \_\_\_\_\_                      Witness: \_\_\_\_\_



**TENNESSEE DEPARTMENT OF CORRECTION  
MONITORING REPORT**

BHA Review

Initials \_\_\_\_\_ Date \_\_\_\_\_

INSTITUTION \_\_\_\_\_

INMATE: \_\_\_\_\_ TDOC ID: \_\_\_\_\_ LOCATION: \_\_\_\_\_

SPECIAL INSTRUCTIONS: \_\_\_\_\_

**COMMENTS/BEHAVIOR OBSERVED**

**COMMENTS:** Indicate restraints loosened, which limbs exercised, food/fluid intake and amount, fluid output, toileting, ADLs, etc.

**ACTIVITY CODE LIST:** *Use abbreviations below*

- |                               |                                       |  |
|-------------------------------|---------------------------------------|--|
| 1. Other (See Comment)        | 17. Spitting                          | 27. Restraint(s)   |
| 2. Loud                       | 18. Incoherent                        | <b>a. 4 Point:</b> Leather <input type="checkbox"/> Soft <input type="checkbox"/> Vinyl <input type="checkbox"/> |
| 3. Delusional                 | 19. Talking                           | Other: _____   |
| 4. Hallucinating              | 20. Glaring                           | Wrist: Right <input type="checkbox"/> Left <input type="checkbox"/>  |
| 5. Crying                     | 21. Quiet                             | Ankle: Right <input type="checkbox"/> Left <input type="checkbox"/>  |
| 6. Inappropriate Laughter     | 22. Sleeping (+ chest movement)       | <b>b. Vest</b>   |
| 7. Incontinent                | 23. Inappropriate Sexual gestures:    | <b>c. Helmet</b>   |
| 8. Restless/Pacing            | a. Disrobing                          | 28. Circulation checked  |
| 9. Rocking of body            | b. Masturbating                       | 29. Exercise (q ___hr)   |
| 10. Hostile/Threatening       | 24. Hygiene: a. Oral b. Shave         | a. ROM ea. Extremity   |
| 11. Swearing/Name Calling     | c. Shower d. Bath                     | b. Position Changed  |
| 12. Fighting Restraints       | Nutrition:                            | c. Ambulate  |
| 13. Kicking                   | 25. a. Fluid Encouraged (q ___hr)     | d. Discontinued Restraints   |
| 14. Punching: a. Door b. Wall | b. Assisted w/diet while awake        | 30. Offer Toileting  |
| 15. Self Mutilation           | c. Refused Nutrition                  | 31. Skin: a. Assessed intact   |
| 16. Smearing Excrement        | 26. Appetite: a. Good b. Fair c. Poor | b. Assessed (See Comments)   |

DATE	TIME	ACTIVITY CODE(S)	INITIAL	DATE	TIME	ACTIVITY CODE(S)	INITIAL	DATE	TIME	ACTIVITY CODE(S)	INITIAL
<b>INITIAL</b>	<b>SIGNATURE/TITLE</b>			<b>INITIAL</b>	<b>SIGNATURE/TITLE</b>			<b>INITIAL</b>	<b>SIGNATURE/TITLE</b>		







TENNESSEE DEPARTMENT OF CORRECTION  
MENTAL HEALTH SCREENING REPORT FOR SEGREGATION

\_\_\_\_\_  
INSTITUTION

INMATE NAME: \_\_\_\_\_ TDOC ID: \_\_\_\_\_ GENDER: \_\_\_\_\_

UNIT: \_\_\_\_\_ TIME: \_\_\_\_\_ CELL: \_\_\_\_\_ DATE OF SCREENING: \_\_\_\_\_

TYPE OF SCREENING: 72 HOUR SEGREGATION \_\_\_ 7 DAY SEGREGATION: \_\_\_ 30 DAY SEGREGATION \_\_\_ OTHER: \_\_\_\_\_

MENTAL STATUS SCREENING:

- | YES                      | NO                       |  |
|--------------------------|--------------------------|--|
| <input type="checkbox"/> | <input type="checkbox"/> | DOES THE OFFENDER HAVE A PRESENT SUICIDE IDEATION                              |
| <input type="checkbox"/> | <input type="checkbox"/> | DOES THE OFFENDER HAVE A HISTORY OF SUICIDAL BEHAVIOR                          |
| <input type="checkbox"/> | <input type="checkbox"/> | IS THE OFFENDER PRESENTLY PRESCRIBED PSYCHOTROPIC MEDICATION                   |
| <input type="checkbox"/> | <input type="checkbox"/> | DOES THE OFFENDER HAVE A CURRENT MENTAL HEALTH COMPLAINT                       |
| <input type="checkbox"/> | <input type="checkbox"/> | IS THE OFFENDER BEING TREATED FOR MENTAL HEALTH PROBLEMS                       |
| <input type="checkbox"/> | <input type="checkbox"/> | DOES OFFENDER HAVE A HISTORY OF TREATMENT FOR SUBSTANCE USE                    |
| <input type="checkbox"/> | <input type="checkbox"/> | DOES OFFENDER HAVE A HISTORY OF INPATIENT AND OUTPATIENT PSYCHIATRIC TREATMENT |

SUBJECTIVE/OBJECTIVE (include symptoms of psychosis, depression, anxiety, and/or aggression) : \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

GENERAL APPEARANCE

- Neat
- Unclean
- Bizarre
- Disheveled

EYE CONTACT

- Good
- Fair
- Poor

DISPOSITION OF OFFENDER

- No Mental Health Referral
- Referral to Mental Health Care Service
- Referral to Appropriate Mental Health Care Service for Emergency Treatment

ADDITIONAL INFORMATION: \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_

\_\_\_\_\_  
SIGNATURE OF MENTAL HEALTH PROVIDER

\_\_\_\_\_  
TITLE

\_\_\_\_\_  
DATE



TENNESSEE DEPARTMENT OF CORRECTION
MENTAL HEALTH SECLUSION/SUICIDE/RESTRAINT AUTHORIZATION

INSTITUTION

PATIENT: TDOC ID: DATE OF BIRTH:

PRECIPITATING SYMPTOMS (OBSERVATION/JUSTIFICATION):

ASSESSMENT:

INITIAL ORDER:

TIME OF AUTHORIZATION: DATE TELEPHONE ORDER: SIGNATURE OF ASSIGNED OR SUPERVISING NURSE

SIGNATURE OF ORDERING PSYCHIATRIST/CNS DATE SIGNATURE/TITLE OF AUTHORIZING STAFF DATE

TYPE OF PLACEMENT: SECLUSION SUICIDE WATCH RESTRAINTS LOCATION:

IF RESTRAINT, TYPE: TIME OF APPLICATION: DATE:

REASSESSMENT:

TYPE OF PLACEMENT: SECLUSION SUICIDE WATCH RESTRAINTS

EXTENSION ORDER:

TELEPHONE CONSULTATION:

TIME OF REASSESSMENT: DATE: SIGNATURE/TITLE OF AUTHORIZED STAFF

REASSESSMENT:

TYPE OF PLACEMENT: SECLUSION SUICIDE WATCH RESTRAINTS

EXTENSION ORDER:

TELEPHONE CONSULTATION:

TIME OF REASSESSMENT: DATE: SIGNATURE/TITLE OF AUTHORIZED STAFF

**MENTAL HEALTH SECLUSION/SUICIDE/RESTRAINT AUTHORIZATION**

\_\_\_\_\_  
INSTITUTION

PATIENT: \_\_\_\_\_ TDOC ID: \_\_\_\_\_ DATE OF BIRTH: \_\_\_\_\_

REASSESSMENT: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

TYPE OF PLACEMENT:     SECLUSION                       SUICIDE WATCH                       RESTRAINTS  
EXTENSION ORDER: \_\_\_\_\_  
TELEPHONE CONSULTATION: \_\_\_\_\_  
TIME OF REASSESSMENT: \_\_\_\_\_ DATE: \_\_\_\_\_  
SIGNATURE/TITLE OF AUTHORIZED STAFF \_\_\_\_\_

REASSESSMENT: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

TYPE OF PLACEMENT:     SECLUSION                       SUICIDE WATCH                       RESTRAINTS  
EXTENSION ORDER: \_\_\_\_\_  
TELEPHONE CONSULTATION: \_\_\_\_\_  
TIME OF REASSESSMENT: \_\_\_\_\_ DATE: \_\_\_\_\_  
SIGNATURE/TITLE OF AUTHORIZED STAFF \_\_\_\_\_

REASSESSMENT: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

TYPE OF PLACEMENT:     SECLUSION                       SUICIDE WATCH                       RESTRAINTS  
EXTENSION ORDER: \_\_\_\_\_  
TELEPHONE CONSULTATION: \_\_\_\_\_  
TIME OF REASSESSMENT: \_\_\_\_\_ DATE: \_\_\_\_\_  
SIGNATURE/TITLE OF AUTHORIZED STAFF \_\_\_\_\_

ORDER DISCONTINUED: \_\_\_\_\_  
TIME OF DISCONTINUANCE: \_\_\_\_\_ DATE: \_\_\_\_\_  
SIGNATURE/TITLE OF AUTHORIZED STAFF \_\_\_\_\_

TIME OF DISCONTINUANCE: \_\_\_\_\_ DATE: \_\_\_\_\_  
SIGNATURE PSYCHIATRIST / CNS \_\_\_\_\_



TENNESSEE DEPARTMENT OF CORRECTION  
MENTAL HEALTH TREATMENT PLAN

\_\_\_\_\_  
INSTITUTION

INMATE: \_\_\_\_\_

TDOC ID: \_\_\_\_\_

DATE OF BIRTH: \_\_\_\_\_

GENDER: \_\_\_\_\_

TREATMENT PLAN REVIEW DUE ON: \_\_\_\_\_

VOLUNTARY       INVOLUNTARY       LEVEL OF CARE

INPATIENT       OUTPATIENT

SPECIAL UNIT: SPECIFY: \_\_\_\_\_

LEVEL OF CARE:       II       III       IV       V

**DSM-5 DIAGNOSIS:**

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**TARGET SYMPTOMS/PROBLEMS:**

- 1) \_\_\_\_\_
- 2) \_\_\_\_\_
- 3) \_\_\_\_\_
- 4) \_\_\_\_\_
- 5) \_\_\_\_\_

**GOALS ACCORDING TO PROBLEM # ABOVE/INMATE RESPONSIBILITIES:**

- 1) \_\_\_\_\_
- 2) \_\_\_\_\_
- 3) \_\_\_\_\_
- 4) \_\_\_\_\_
- 5) \_\_\_\_\_

**TREATMENT MODALITY AND FREQUENCY TO ACHIEVE GOALS:**

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

\_\_\_\_\_  
INMATE SIGNATURE / CONSERVATOR SIGNATURE

\_\_\_\_\_  
DATE

\_\_\_\_\_  
STAFF SIGNATURE      TITLE

\_\_\_\_\_  
DATE

\_\_\_\_\_  
STAFF SIGNATURE      TITLE

\_\_\_\_\_  
DATE

\_\_\_\_\_  
RECEIVING PROVIDER

\_\_\_\_\_  
DATE



**TENNESSEE DEPARTMENT OF CORRECTION  
MENTAL HEALTH TREATMENT REVIEW COMMITTEE  
DEBERRY SPECIAL NEEDS FACILITY**

INMATE NAME: \_\_\_\_\_ TDOC ID: \_\_\_\_\_ DATE OF BIRTH: \_\_\_\_\_ GENDER: \_\_\_\_\_

I. REPORT OF INITIAL PSYCHIATRIST'S MEETING WITH INMATE'S:

Initial Psychiatrist's Recommendation(s):

\_\_\_\_\_  
Psychiatrist Signature

\_\_\_\_\_  
Date

II. REPORT OF SECOND PSYCHIATRIST'S MEETING WITH INMATE:

Second Psychiatrist's Recommendation(s):

\_\_\_\_\_  
Psychiatrist Signature

\_\_\_\_\_  
Date

III. REPORT OF TREATMENT TEAM MEETING:

Treatment Team Recommendations(s):

**MENTAL HEALTH TREATMENT REVIEW COMMITTEE  
DEBERRY SPECIAL NEEDS FACILITY**

IV. REPORT OF TREATMENT REVIEW COMMITTEE:

Signature of Treatment Review Committee:	Date	Comments:
_____	_____	_____
_____	_____	_____
_____	_____	_____

---

---

INMATE RIGHTS ADVOCATE COMMENT(S):

\_\_\_\_\_

Inmate Rights Advocate Signature

\_\_\_\_\_

Date



TENNESSEE DEPARTMENT OF CORRECTION  
MENTAL HEALTH SUMMARY

\_\_\_\_\_  
INSTITUTION

INMATE NAME: \_\_\_\_\_ TDOC ID: \_\_\_\_\_  
Last First Middle

DOB: \_\_\_\_\_ Gender: \_\_\_\_\_ Race: \_\_\_\_\_ Custody Status: \_\_\_\_\_ Release Date: \_\_\_\_\_

FOLLOW-UP APPOINTMENT DATE:: \_\_\_\_\_

REFERRAL TO RECEIVING FACILITY (Contact Person): \_\_\_\_\_

COMMUNITY MENTAL HEALTH CENTER (Specify Branch) Telephone/Address: \_\_\_\_\_

DSM DIAGNOSIS: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

HISTORY OF SUICIDAL OR SELF-INJURIOUS BEHAVIORS: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

ASSESSMENT [Problem(s), Behavioral Observations, Clinical Impressions, Estimation of Intellectual Ability, MSE): \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

TRIGGERS AND/OR EARLY WARNING SIGNS OF DECOMPENSATION: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

PATIENT INSIGHT/MOTIVATION/COMPLIANCE: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

PRIOR TREATMENT HISTORY: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

FUTURE TREATMENT RECOMMENDATIONS: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

\_\_\_\_\_  
Signature of Staff

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature of Staff

\_\_\_\_\_  
Date





TENNESSEE DEPARTMENT OF CORRECTION
MENTAL HEALTH EMERGENCY MEDICATION

INSTITUTION

INMATE NAME: TDOC ID: D.O.B GENDER:

I, the undersigned physician, prescribe and authorize the administration of the following psychotropic medication to the above named inmate:

(Medication)

I conclude that an emergency exists because of the following circumstances:

an immediate threat of serious physical harm to the inmate or to others as a result of the violent behavior of the inmate: Specific Behaviors:

an immediate threat to the inmate of deteriorating physical well-being with risk to life or long-term health caused by the effects of mental illness: Specific Behaviors:

I have personally observed these behaviors with a persistence of immediate threats.

The following less restrictive measures were considered/attempted but rejected as ineffective:

The certification of emergency and prescription and authorization for administration of psychotropic medication based on emergency shall be effective only for (72) seventy-two hours beginning at the time and date indicated below:

Time of First Administration a.m. p.m. Date Signature of Physician Certifying Emergency

NOTE: By the end of the next regular working day, the physician shall make sure that a copy of this form has reached the: (a) inmate's health record; (b) treatment team coordinator; (c) Inmate Rights Advisor, and; (d) the warden.

EMERGENCY RENEWAL

I, the undersigned physician, have determined that the above-certified emergency continues to exist beyond the original (72) seventy-two hour period indicated above, and I extend the prescription and authorization noted for an additional (72) seventy-two hours, creating an emergency medication period totaling one-hundred forty-four (144) hours.

As a result of my personal evaluation of the inmate, within (6) six hours of renewal, I have concluded that an emergency situation continues to exist because:

a.m. p.m. Time Date Facility Signature of Physician



TENNESSEE DEPARTMENT OF CORRECTION  
CERTIFICATION OF MENTAL HEALTH EMERGENCY

\_\_\_\_\_  
INSTITUTION

\_\_\_\_\_  
Inmate/Patient

\_\_\_\_\_  
TDOC ID

\_\_\_\_\_  
Date of Birth

\_\_\_\_\_  
Gender

I, the undersigned physician or psychologist, conclude that an emergency exists because of the following circumstances: (check as indicated)

\_\_\_\_\_ an immediate threat of serious physical harm to the inmate/patient or to others as a result of the violent behavior of the inmate/patient. Specific behaviors include:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

\_\_\_\_\_ an immediate threat to the inmate/patient of deteriorating physical well-being with risk to life or long-term health caused by the effects of mental illness. Specific behaviors include:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

I have personally observed these behaviors with a persistence of immediate threats.

The following less restrictive measures were considered/attempted but rejected as ineffective:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

The certification of a mental health emergency requiring a transfer to the DeBerry Special Needs Facility or to an outside health care provider has been based on my direct assessment of this inmate/patient.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Title

\_\_\_\_\_  
Date





TENNESSEE DEPARTMENT OF CORRECTION  
PSYCHIATRIC UPDATE

\_\_\_\_\_  
INSTITUTION

INMATE NAME: \_\_\_\_\_ TDOC ID: \_\_\_\_\_

                    Last                      First                      Middle

DOB: \_\_\_\_\_ Gender: \_\_\_\_\_ Race \_\_\_\_\_ Custody Status: \_\_\_\_\_ Date: \_\_\_\_\_

---

COURSE OF TREATMENT TO DATE

Define Problem Areas: \_\_\_\_\_

Psychotropic Medications:

\_\_\_\_\_  
Last Psychiatrist Visit: \_\_\_\_\_

CURRENT MENTAL STATUS

History of suicide attempts in the past year:     Yes     No    How many? \_\_\_\_\_

DIAGNOSTIC IMPRESSIONS

DSM-V: \_\_\_\_\_

TREATMENT RECOMMENDATIONS: \_\_\_\_\_

\_\_\_\_\_  
Staff Psychiatrist Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Staff Psychologist Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Staff Signature/Title

\_\_\_\_\_  
Date



**TENNESSEE DEPARTMENT OF CORRECTION  
MENTAL HEALTH SERVICES  
PSYCHIATRIC DAILY/WEEKLY/MONTHLY GROUP SUMMARY**

\_\_\_\_\_  
**INSTITUTION**

INMATE NAME: \_\_\_\_\_ TDOC ID: \_\_\_\_\_

TREATMENT GROUP: \_\_\_\_\_ DATE: FROM: \_\_\_\_\_ TO: \_\_\_\_\_

	<u>Poor</u>	<u>Fair</u>	<u>Good</u>	<u>Excellent</u>	<u>N/A</u>
	S M T W T F S	S M T W T F S	S M T W T F S	S M T W T F S	S M T W T F S
1. Patient's level of hygienic appropriateness	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
2. Patient's orientation to task(s):	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
3. Participative Level:	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4. Cognitive processing:	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
5. Emotional functioning:	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

**DAILY/WEEKLY GROUP NOTES:**

Date \_\_\_\_\_ Objective/Focus \_\_\_\_\_ Observations \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_ Staff Signature \_\_\_\_\_

Date \_\_\_\_\_ Objective/Focus \_\_\_\_\_ Observations \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_ Staff Signature \_\_\_\_\_

Date \_\_\_\_\_ Objective/Focus \_\_\_\_\_ Observations \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_ Staff Signature \_\_\_\_\_

Date \_\_\_\_\_ Objective/Focus \_\_\_\_\_ Observations \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_ Staff Signature \_\_\_\_\_

Date \_\_\_\_\_ Objective/Focus \_\_\_\_\_ Observations \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_ Staff Signature \_\_\_\_\_

WEEKLY/MONTHLY SUMMARY: \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

Signature(s) and Title of Therapist/Mental Health Staff \_\_\_\_\_  
 \_\_\_\_\_ DATE: \_\_\_\_\_  
 \_\_\_\_\_ DATE: \_\_\_\_\_



**TENNESSEE DEPARTMENT OF CORRECTION  
MENTAL HEALTH SERVICES PROGRAMMATIC - PROGRESS RECORD**

INSTITUTION \_\_\_\_\_

Inmate Name: \_\_\_\_\_

TDOC ID: \_\_\_\_\_

**PSYCHOLOGY SERVICES – Weekly Group Session**

DATE			
	<b>TARGET SYMPTOMS/PROBLEM:</b>		
WEEK ENDING:	<b>TREATMENT GROUP:</b>		
	<b>MENTAL STATUS: INDICATE SIGNIFICANT CHANGES IN FOLLOWING AREAS:</b>		
MONTH ENDING:	Speech <input type="checkbox"/> No <input type="checkbox"/> Yes	If yes, please explain: _____	
	Thought Processes: <input type="checkbox"/> No <input type="checkbox"/> Yes	If yes, please explain: _____	
	Sleep <input type="checkbox"/> No <input type="checkbox"/> Yes	If yes, please explain: _____	
	Hallucinations <input type="checkbox"/> No <input type="checkbox"/> Yes	If yes, please explain: _____	
	Delusions: <input type="checkbox"/> No <input type="checkbox"/> Yes	If yes, please explain: _____	
	Affect: <input type="checkbox"/> No <input type="checkbox"/> Yes	If yes, please explain: _____	
	Mood <input type="checkbox"/> No <input type="checkbox"/> Yes	If yes, please explain: _____	
	Cognitive Functions: <input type="checkbox"/> No <input type="checkbox"/> Yes	If yes, please explain: _____	
	<b>Danger to Self or Others</b>		
	<input type="checkbox"/> Not Present	<input type="checkbox"/> Suicidal	<input type="checkbox"/> Homicidal <input type="checkbox"/> Assaultive <input type="checkbox"/> Self Injurious
	<b>PARTICIPATION:</b>		
	<input type="checkbox"/> Cooperative	<input type="checkbox"/> Guarded/Resistant	<input type="checkbox"/> Easily Distracted <input type="checkbox"/> Focused
	<input type="checkbox"/> Engaged	<input type="checkbox"/> Not Engaged	<input type="checkbox"/> Receptive
	<b>PATIENT MED ISSUES:</b>		
	<input type="checkbox"/> Not On Medication for Mental Health Purposes	<input type="checkbox"/> Compliant with Current Regimen As Prescribed	<input type="checkbox"/> Non-compliant with Current Regimen <input type="checkbox"/> Reported Side Effects: _____
	<b>Level of Functioning:</b>		
	Behavior: <input type="checkbox"/> Isolative <input type="checkbox"/> Poor Impulse Control <input type="checkbox"/> Hostile/Angry	<input type="checkbox"/> Interpersonal Conflict <input type="checkbox"/> Appropriate to Situation	
	<b>UPDATE REGARDING INMATE'S RESPONSE TO CLINICAL INTERVENTIONS AND THERAPEUTIC CARE ACTIVITIES, PROGRESS TOWARD MEETING GOALS AND OBJECTIVES, AND ANY CHANGES REQUIRED:</b>		
	<b>ASSESSMENT:</b>		
	<input type="checkbox"/> Good Progress	<input type="checkbox"/> Stable/Maintaining	<input type="checkbox"/> No Progress <input type="checkbox"/> Decompensation
	<b>PLAN:</b>		
	<input type="checkbox"/> Return For Group Session.	<input type="checkbox"/> Refer to Psychiatry	<input type="checkbox"/> Terminate Group Services.

\_\_\_\_\_  
Signature / Print

\_\_\_\_\_  
Date



**TENNESSEE DEPARTMENT OF CORRECTION  
MENTAL HEALTH SERVICES  
INDIVIDUAL PSYCHIATRY SESSION – PROGRESS RECORD**

Institution: \_\_\_\_\_

**NAME:** \_\_\_\_\_

**TDOC ID:** \_\_\_\_\_

**DATE:** \_\_\_\_\_

**TIME:** \_\_\_\_\_

**S = SUBJECTIVE      O = OBJECTIVE      A = ASSESSMENT      P = PLAN**

**Interim**                       **90-day**                       **12-month**

<b>S (SUBJECTIVE):</b>	SPMI	<input type="checkbox"/> YES	SMI	<input type="checkbox"/> YES	DIAGNOSIS

<b>O (OBJECTIVE):</b>	Memory	Speech	Thought Processes	Sleep	Hallucinations	Eye Contact
<input type="checkbox"/> O X 4 <input type="checkbox"/> Not Person <input type="checkbox"/> Not Place <input type="checkbox"/> Not Time <input type="checkbox"/> Not Situation <input type="checkbox"/> Other	<input type="checkbox"/> Intact <input type="checkbox"/> Memory Deficit	<input type="checkbox"/> Appropriate <input type="checkbox"/> Rapid <input type="checkbox"/> Pressured <input type="checkbox"/> Slowed <input type="checkbox"/> Mute <input type="checkbox"/> Tangential <input type="checkbox"/> Perseverating	<input type="checkbox"/> Appropriate <input type="checkbox"/> Loose Assoc <input type="checkbox"/> Flight of Ideas <input type="checkbox"/> Expansive <input type="checkbox"/> Pessimistic	<input type="checkbox"/> No Complaint <input type="checkbox"/> Hypersomnia <input type="checkbox"/> Insomnia <input type="checkbox"/> Nightmares <input type="checkbox"/> Changes in sleep pattern	<input type="checkbox"/> Not Present <input type="checkbox"/> Tactile <input type="checkbox"/> Olfactory <input type="checkbox"/> Gustatory <input type="checkbox"/> Auditory <input type="checkbox"/> Visual	<input type="checkbox"/> Good <input type="checkbox"/> Fair <input type="checkbox"/> Poor

Comments: \_\_\_\_\_

Delusions	Mood	Affect	Danger to Self or Others	AIMS
<input type="checkbox"/> Not Present <input type="checkbox"/> Grandiose <input type="checkbox"/> Persecution <input type="checkbox"/> Somatic <input type="checkbox"/> Paranoia <input type="checkbox"/> Religious	<input type="checkbox"/> Euthymic <input type="checkbox"/> Depressed <input type="checkbox"/> Elevated <input type="checkbox"/> Neutral <input type="checkbox"/> Anxious <input type="checkbox"/> Irritable <input type="checkbox"/> Labile <input type="checkbox"/> Calm	<input type="checkbox"/> Appropriate <input type="checkbox"/> Flat <input type="checkbox"/> Blunted <input type="checkbox"/> Constricted <input type="checkbox"/> Incongruent w/Mood	<input type="checkbox"/> Not Present <input type="checkbox"/> Suicidal <input type="checkbox"/> Homicidal <input type="checkbox"/> Assaultive <input type="checkbox"/> Self Injurious	<input type="checkbox"/> AIMS Completed Score: _____ <input type="checkbox"/> N/A

Comments: \_\_\_\_\_

**A (ASSESSMENT):**

<u>Health Changes</u>	<u>Lab/Test Results</u>	<u>Med Compliance</u>	<u>Side Effects</u>
<input type="checkbox"/> None <input type="checkbox"/> Note Significant Changes	<input type="checkbox"/> No New Results <input type="checkbox"/> New Results Reviewed <input type="checkbox"/> Lab(s) Ordered	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	<input type="checkbox"/> Present (Please Note) <input type="checkbox"/> Absent <input type="checkbox"/> N/A

**Overall Rating:**

Progress                       Stable/Maintaining                       No Progress                       Decompensation

Comments: \_\_\_\_\_

**LEVEL OF FUNCTIONING:**

Hygiene	Daily Tasks	Relationship
<input type="checkbox"/> Independent <input type="checkbox"/> Monitoring or direction required <input type="checkbox"/> Only with frequent prompts <input type="checkbox"/> Unable w/out assistance <input type="checkbox"/> Declining	<input type="checkbox"/> Independent <input type="checkbox"/> Monitoring or direction required <input type="checkbox"/> Requires constant prompts <input type="checkbox"/> Unable w/out assistance <input type="checkbox"/> Declining	<input type="checkbox"/> Maintains social contacts <input type="checkbox"/> Non-verbal <input type="checkbox"/> Requires constant prompts <input type="checkbox"/> Unable w/out assistance <input type="checkbox"/> Social interaction minimal

Comments: \_\_\_\_\_

**P (PLAN/INTERVENTION):**

<input type="checkbox"/> Continue Medication Unchanged <input type="checkbox"/> Changes in Current Medications (Specify) _____ <input type="checkbox"/> Risks/Benefits, Side Effects, and Alternatives were Discussed <input type="checkbox"/> Terminate Psychiatric Services	<input type="checkbox"/> Treatment Plan Development/Revision
Referral:	
Return:	
Specify Other Interventions (as needed):	

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date



**TENNESSEE DEPARTMENT OF CORRECTION  
MENTAL HEALTH SERVICES  
INDIVIDUAL/GROUP THERAPY SESSION – PROGRESS RECORD  
PSYCHOLOGY SERVICES**

Institution: \_\_\_\_\_

NAME: \_\_\_\_\_

TDOC ID: \_\_\_\_\_

DATE: \_\_\_\_\_

TIME: \_\_\_\_\_

**S = SUBJECTIVE      O = OBJECTIVE      A = ASSESSMENT      P = PLAN**

Individual     Group Type: \_\_\_\_\_    Length of Session     20-30 Min     45-50 Min     Other \_\_\_\_\_

<b>S (SUBJECTIVE):</b>	SPMI <input type="checkbox"/> YES	SMI <input type="checkbox"/> YES	DIAGNOSIS:

O (OBJECTIVE): Orientation	Memory	Speech	Thought Processes	Sleep	Hallucinations	Eye Contact
<input type="checkbox"/> O X 4 <input type="checkbox"/> Not Person <input type="checkbox"/> Not Place <input type="checkbox"/> Not Time  <input type="checkbox"/> Not Situation <input type="checkbox"/> Other	<input type="checkbox"/> Intact <input type="checkbox"/> Memory Deficit	<input type="checkbox"/> Appropriate <input type="checkbox"/> Mute <input type="checkbox"/> Rapid <input type="checkbox"/> Tangential <input type="checkbox"/> Pressured <input type="checkbox"/> Perseverating <input type="checkbox"/> Slowed	<input type="checkbox"/> Appropriate <input type="checkbox"/> Loose Assoc <input type="checkbox"/> Flight of Ideas	<input type="checkbox"/> No Complaint <input type="checkbox"/> Hypersomnia <input type="checkbox"/> Insomnia <input type="checkbox"/> Nightmares <input type="checkbox"/> Changes in sleep pattern	<input type="checkbox"/> Not Present <input type="checkbox"/> Tactile <input type="checkbox"/> Olfactory <input type="checkbox"/> Gustatory  <input type="checkbox"/> Auditory <input type="checkbox"/> Visual	<input type="checkbox"/> Good <input type="checkbox"/> Fair <input type="checkbox"/> Poor

Comments: \_\_\_\_\_

Delusions	Mood	Affect	Danger to Self or Others	Judgement	Insight
<input type="checkbox"/> Not Present <input type="checkbox"/> Grandiose <input type="checkbox"/> Persecution <input type="checkbox"/> Somatic  <input type="checkbox"/> Paranoia <input type="checkbox"/> Religious <input type="checkbox"/> N/A	<input type="checkbox"/> Euthymic <input type="checkbox"/> Anxious <input type="checkbox"/> Depressed <input type="checkbox"/> Irritable <input type="checkbox"/> Elevated <input type="checkbox"/> Labile <input type="checkbox"/> Neutral <input type="checkbox"/> Calm	<input type="checkbox"/> Appropriate <input type="checkbox"/> Flat <input type="checkbox"/> Blunted <input type="checkbox"/> Constricted <input type="checkbox"/> Incongruent w/Mood	<input type="checkbox"/> Not Present <input type="checkbox"/> Suicidal <input type="checkbox"/> Homicidal <input type="checkbox"/> Assaultive <input type="checkbox"/> Self Injurious	<input type="checkbox"/> Good <input type="checkbox"/> Fair <input type="checkbox"/> Poor	<input type="checkbox"/> Good <input type="checkbox"/> Fair <input type="checkbox"/> Poor

Comments: \_\_\_\_\_

<b>A (ASSESSMENT):</b> <u>Psychiatric Medication</u>	<u>Medication Compliance</u>	<u>Group Session Level of Participation</u>
<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	<input type="checkbox"/> Excellent <input type="checkbox"/> Minimal <input type="checkbox"/> Participation with Encouragement
<input type="checkbox"/> Provided feedback to Peers <input type="checkbox"/> Quiet but Attentive <input type="checkbox"/> Disruptive		

Number of sessions missed: \_\_\_\_\_

**Overall Rating:**

Progress                                     Stable/Maintaining                                     No Progress                                     Decompensation

Comments: \_\_\_\_\_

**LEVEL OF FUNCTIONING:**

Hygiene	Daily Tasks	Relationship
<input type="checkbox"/> Independent <input type="checkbox"/> Declining <input type="checkbox"/> Monitoring or direction required <input type="checkbox"/> Only with frequent prompts <input type="checkbox"/> Unable w/out assistance <input type="checkbox"/> N/A	<input type="checkbox"/> Independent <input type="checkbox"/> Declining <input type="checkbox"/> Monitoring or direction required <input type="checkbox"/> Requires constant prompts <input type="checkbox"/> Unable w/out assistance <input type="checkbox"/> N/A	<input type="checkbox"/> Maintains social contacts <input type="checkbox"/> Social interaction minimal <input type="checkbox"/> Other: _____

Comments: \_\_\_\_\_

<b>P (PLAN/INTERVENTION):</b>	<b>TREATMENT PLAN GOAL</b>
<input type="checkbox"/> Continue with frequency/length or session <input type="checkbox"/> Reduce frequency/length or sessions <input type="checkbox"/> Increase frequency/length or sessions	<input type="checkbox"/> Treatment Plan Development/Revision <input type="checkbox"/> Terminate psychological services Specify Other Interventions (as needed):

Referral:	_____
Return:	_____

**Signature/Stamp**

\_\_\_\_\_





**TENNESSEE DEPARTMENT OF CORRECTION  
MENTAL HEALTH DISCHARGE OF SERVICES SUMMARY**

\_\_\_\_\_  
INSTITUTION

**INMATE NAME:** \_\_\_\_\_

**TDOC ID:** \_\_\_\_\_

**S = SUBJECTIVE      O = OBJECTIVE      A = ASSESSMENT      P = PLAN**

**DISCHARGE SUMMARY** (FOR PSYCHIATRY AND PSYCHOLOGY SERVICES)

Date	Time	
		<b>DOB:</b> ___/___/___ <b>AGE:</b> ___ <b>DATE SERVICES BEGAN:</b> ___/___/___ <b>DISCHARGE DATE:</b> ___/___/___
		<b>HISTORY OF CURRENT EPISODE:</b>
		<b>EVALUATIONS PERFORMED:</b>
		<b>CLINICAL COURSE:</b>
		<b>CONDITION UPON DISCHARGE:</b>
		<b>DISCHARGE DIAGNOSIS: DSM-V</b>
		<b>DISCHARGE AND AFTERCARE PLAN:</b>

\_\_\_\_\_  
PRINT NAME

\_\_\_\_\_  
PROVIDER SIGNATURE



**TENNESSEE DEPARTMENT OF CORRECTION  
MENTAL HEALTH INTAKE APPRAISAL AND EVALUATION**

**INSTITUTION**

NAME: \_\_\_\_\_ TDOC ID: \_\_\_\_\_ DATE: \_\_\_\_\_  
 DOB: \_\_\_\_\_ Gender: \_\_\_\_\_ Race: \_\_\_\_\_ Date of TDOC Arrival: \_\_\_\_\_

**I. BEHAVIORAL OBSERVATION / MENTAL STATUS**

INITIAL EVAL  UPDATED EVAL  DATE OF INITIAL EVAL \_\_\_\_\_

Mood & Affect	Thought Content	Orientation	Memory	Judgment & Insight	General Appearance	Speech
<input type="checkbox"/> Appropriate <input type="checkbox"/> Incongruent <input type="checkbox"/> Flat Affect <input type="checkbox"/> Sad Mood <input type="checkbox"/> Hopeless <input type="checkbox"/> Anxiety/Panic <input type="checkbox"/> Manic <input type="checkbox"/> Labile/Swings <input type="checkbox"/> Euphoric <input type="checkbox"/> Impulsive <input type="checkbox"/> Hostile	<input type="checkbox"/> Normal/Appropriate <input type="checkbox"/> Poor Focus/Inattentive <input type="checkbox"/> Negative/Pessimistic <input type="checkbox"/> Indecisive/Confused <input type="checkbox"/> Paranoid/Suspicious <input type="checkbox"/> Loose Assoc <input type="checkbox"/> Flight of Ideas <input type="checkbox"/> Racing Thoughts <input type="checkbox"/> Expansive <input type="checkbox"/> Suicidal/Self-Harm <input type="checkbox"/> Homicidal/Assaultive	<input type="checkbox"/> Oriented X1, 2, 3, 4 _____ <input type="checkbox"/> Disoriented <input type="checkbox"/> Person <input type="checkbox"/> Time <input type="checkbox"/> Place <input type="checkbox"/> Situation	<input type="checkbox"/> Intact <input type="checkbox"/> Impaired <input type="checkbox"/> Immediate <input type="checkbox"/> Recent <input type="checkbox"/> Remote <input type="checkbox"/> Confabulations  Loss specific to <input type="checkbox"/> Trauma <input type="checkbox"/> TBI / Stroke <input type="checkbox"/> Other _____	<b>JUDGMENT</b> <input type="checkbox"/> Good <input type="checkbox"/> Fair <input type="checkbox"/> Poor  <b>INSIGHT</b> <input type="checkbox"/> Good <input type="checkbox"/> Fair <input type="checkbox"/> Poor	<input type="checkbox"/> Neat <input type="checkbox"/> Unclean <input type="checkbox"/> Bizarre <input type="checkbox"/> Disheveled  <b>EYE CONTACT</b> <input type="checkbox"/> Good <input type="checkbox"/> Fair <input type="checkbox"/> Poor	<input type="checkbox"/> Normal <input type="checkbox"/> Hesitant <input type="checkbox"/> Low/Quiet <input type="checkbox"/> Mute <input type="checkbox"/> Circumstantial <input type="checkbox"/> Rambling <input type="checkbox"/> Perseverating <input type="checkbox"/> Flight of Ideas <input type="checkbox"/> Threatening <input type="checkbox"/> Other _____ <input type="checkbox"/> Appropriate <input type="checkbox"/> Slowed <input type="checkbox"/> Mumbling <input type="checkbox"/> Loud <input type="checkbox"/> Tangential <input type="checkbox"/> Slurred <input type="checkbox"/> Rapid <input type="checkbox"/> Pressured <input type="checkbox"/> Angry

**Observations/Comments:**  Cooperative  Pleasant  Reluctant  Withdrawn  Uncooperative  Bizarre Behavior: \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

**II. EDUCATION HISTORY**

**High School:**  Highest Grade Completed: \_\_\_\_\_  GED  High School Diploma  Enrolled in Special Ed Classes  Special Ed Diploma  
**College/Vocational:** Years Completed: \_\_\_\_\_ Area of Study: \_\_\_\_\_ Degree Received: \_\_\_\_\_  
 Comments: \_\_\_\_\_

**III. WORK HISTORY**

Never Worked  Years of Military Service: \_\_\_\_\_  Deployed in Combat Zone  Receiving Disability Prior to Incarceration for: \_\_\_\_\_  
 Last Job Held in Free-World: \_\_\_\_\_  Longest Held Job: \_\_\_\_\_  
 Comments: \_\_\_\_\_

**IV. FAMILY AND TRAUMA HISTORY**

Parent(s) Deceased:  Mother  Father  No, Both Living Routine contact with:  Mother  Father  Siblings  Other Family Members  
 Parental Divorce:  No  Yes: Age at time of divorce: \_\_\_\_\_ Raised by: \_\_\_\_\_  Adopted  
 Childhood Trauma:  None  Abuse/Neglect  Poor/Absent Parenting  Parental Death  Foster Care/Group Home  Arrest/Detention  
 Describe: \_\_\_\_\_  
 Family history of substance abuse:  No  Yes: \_\_\_\_\_  
 Family history of mental health problems/treatment:  No  If yes, who: \_\_\_\_\_  
 Describe issues/treatment: \_\_\_\_\_  
 Trauma as adult:  No  Yes: \_\_\_\_\_  
 Comments: \_\_\_\_\_

**V. SIGNIFICANT OTHER, CHILDREN AND SOCIAL SUPPORT**

Currently Married/Significant Other:  No  Yes, Supportive Relationship  YES, BUT:  Estranged  No Contact  Divorcing/Separating  
 Prior Marriages/Divorces:  No  Yes, #: \_\_\_\_\_ Children:  No  If yes, # and ages: \_\_\_\_\_  
 Custody of children:  No  Yes  N/A Contact Frequency with Children:  None  Minimal  Occasional  Frequent  Visitation  
 Caregiver to Children:  No  Yes Permanent Loss of Custody to:  Custodial Parent  Adoption  Foster Care  Relative  Other

NAME: \_\_\_\_\_ TDOC ID: \_\_\_\_\_ DATE: \_\_\_\_\_

Supportive family members you feel closest to NOW: \_\_\_\_\_

Support System:  Spouse/Partner  Family  Friends  Describe contact: \_\_\_\_\_

Recent Loss/Stressors: \_\_\_\_\_ Comments: \_\_\_\_\_

**VI. SUBSTANCE USE HISTORY & TREATMENT**

Inmate Denies Prior Substance Use/Abuse Issues

Name of Substance	Use Frequency	Abuse	Dependence	First Use	Last Use	While Incarcerated?
Opioids:		<input type="checkbox"/>	<input type="checkbox"/>			<input type="checkbox"/> No <input type="checkbox"/> Yes
Stimulants:		<input type="checkbox"/>	<input type="checkbox"/>			<input type="checkbox"/> No <input type="checkbox"/> Yes
Cannabis/THC:		<input type="checkbox"/>	<input type="checkbox"/>			<input type="checkbox"/> No <input type="checkbox"/> Yes
ETOH:		<input type="checkbox"/>	<input type="checkbox"/>			<input type="checkbox"/> No <input type="checkbox"/> Yes
Hallucinogens:		<input type="checkbox"/>	<input type="checkbox"/>			<input type="checkbox"/> No <input type="checkbox"/> Yes
Inhalants:		<input type="checkbox"/>	<input type="checkbox"/>			<input type="checkbox"/> No <input type="checkbox"/> Yes
Sedative/Hypnotic/Anxiolytic:		<input type="checkbox"/>	<input type="checkbox"/>			<input type="checkbox"/> No <input type="checkbox"/> Yes
Other:		<input type="checkbox"/>	<input type="checkbox"/>			<input type="checkbox"/> No <input type="checkbox"/> Yes

Substance Use Treatment:  None  Yes, Outpatient (# \_\_\_\_\_)  Yes, Inpatient (# \_\_\_\_\_) How many completed: \_\_\_\_\_

Age of First Treatment: \_\_\_\_\_ Age of Last Treatment: \_\_\_\_\_ Comments: \_\_\_\_\_

How many prior overdoses with medical attention needed: \_\_\_\_\_ How many medical hospitalizations due to substance use: \_\_\_\_\_

Comments: \_\_\_\_\_

**VII. CRIMINAL HISTORY AND ASSAULTIVE/VIOLENT BEHAVIORS**

Violence:  Yes, Last Date: \_\_\_\_\_  No History

Current conviction(s): \_\_\_\_\_ Sentence (Yrs): \_\_\_\_\_ @ \_\_\_\_\_ %

Responsibility:  Admits  Denies  Shows Remorse  Victim Stance: \_\_\_\_\_

Juvenile convictions: \_\_\_\_\_

Physical Assault:  Without weapon  With weapon Sexual Assault:  Adult victim  Child victim (Age \_\_\_\_\_)  Both Child & Adult

Terroristic threats or acts:  No  Yes /  Homicide, manslaughter or other assault resulting in victim's death: \_\_\_\_\_

History Supports Potential for Violence:  No  Yes  Noted Antisocial Traits Adjustment to Incarceration:  WNL  Fair  Poor  Needs Help

Comments: \_\_\_\_\_ Prior Adjustment:  WNL  Fair  Poor

**VIII. MEDICAL CONCERNS**

No Reported Medical Concerns

Seizures:  No  Yes  On Anticonvulsive Meds Head Trauma:  No  Yes, with loss of consciousness  Yes, but no loss of consciousness

General Medical Conditions: \_\_\_\_\_

Current Pregnancy \_\_\_\_\_ Wks Other Medical Concerns: \_\_\_\_\_

Poor Appetite: \_\_\_\_\_  Weight Loss: \_\_\_\_\_  Eating Disorder: \_\_\_\_\_  Sleep Deficits: \_\_\_\_\_

Past Surgeries/Other Comments: \_\_\_\_\_

**IX. SUICIDAL IDEATION AND SUICIDE ATTEMPTS**

Last suicide attempt:  Never Age: \_\_\_\_\_ Method: \_\_\_\_\_ Medical attention needed:  Yes  No

Number of prior suicide attempts: \_\_\_\_\_ Method(s): \_\_\_\_\_ Medical attention needed:  Yes  No

Identified triggers for suicidal thoughts/behaviors: \_\_\_\_\_

Suicide attempts while incarcerated?  No  Yes: \_\_\_\_\_ Suicide attempts while intoxicated/high?  No  Yes \_\_\_\_\_

History supports suicide potential:  No  Yes  Immediate need for suicide risk assessment:  MH provider and security notified

Comments: \_\_\_\_\_

Place on Clinical Alert Log

NAME: \_\_\_\_\_ TDOC ID: \_\_\_\_\_ DATE: \_\_\_\_\_

**X. NON-SUICIDAL SELF-INJURIOUS BEHAVIOR (NSSIB)**

Last self-injury episode:  Never Age: \_\_\_\_\_ Method: \_\_\_\_\_ Medical attention needed:  Yes  No

Type of NSSIB:  Cutting  Head Banging  Non-Cosmetic Burning  Self-Mutilation  Object Insertion  Other: \_\_\_\_\_

NSSIB while incarcerated?  Yes  No NSSIB while intoxicated or high?  Yes  No  Placed on High Risk Log

Comments: \_\_\_\_\_

**XI. MENTAL HEALTH TREATMENT HISTORY**

Records Available  Records Not Available  Records Requested

**OUTPATIENT TREATMENT**

No History of Outpatient Treatment

Last outpatient treatment:  Never Age: \_\_\_\_\_ # of Sessions: \_\_\_\_\_ Reason for treatment: \_\_\_\_\_

Prior outpatient treatment:  Never Age: \_\_\_\_\_ # of Sessions: \_\_\_\_\_ Reason for treatment: \_\_\_\_\_

Prior outpatient facilities: \_\_\_\_\_

Prior diagnoses: \_\_\_\_\_

Comments: \_\_\_\_\_

**INPATIENT TREATMENT**

History of Hospitalization Related to Suicide Threat

No History of Inpatient Treatment

Last inpatient treatment:  Never Age: \_\_\_\_\_ How long: \_\_\_\_\_ Reason hospitalized: \_\_\_\_\_

Last inpatient facility: \_\_\_\_\_ Number of inpatient stays: \_\_\_\_\_ Longest stay: \_\_\_\_\_

Working diagnoses: \_\_\_\_\_

Age of 1<sup>st</sup> Psychiatric Hospitalization: \_\_\_\_\_ Age of Last Psychiatric Hospitalization: \_\_\_\_\_ Age of longest treatment duration: \_\_\_\_\_

Comments: \_\_\_\_\_

**PSYCHOTROPIC MEDICATIONS**

No History of Psychotropic Medications

Current medications (or within last 2-4 weeks): \_\_\_\_\_  None

Yes, prescribed in county jail  Date last dose received: \_\_\_\_\_ Generally med compliant?  Yes  No

Current meds intended to treat: \_\_\_\_\_

Psychotropic meds previously prescribed: \_\_\_\_\_  None

AIMS Completed

Treatment Compliance:  Always  Usually  Sometimes  Infrequently  Primarily When Incarcerated  Likely Confounded with Substance Use

Age first prescribed meds: \_\_\_\_\_ Age last prescribed meds: \_\_\_\_\_  Arrived on meds Allergies: \_\_\_\_\_

**XII. MENTAL HEALTH DIAGNOSTIC CHECKLIST**

**(To be completed by a licensed mental health professional only)**

SYMPTOMS CONSISTENT WITH ANXIETY, PHOBIAS, OBSESSIVENESS & TRAUMA			
<input type="checkbox"/> Poor Focus / Concentration	<input type="checkbox"/> Obsessive Behaviors / Thoughts	<input type="checkbox"/> Flashbacks or Dissociation	<input type="checkbox"/> Mental Confusion / Amnesia
<input type="checkbox"/> Anxiety / Excessive Worry	<input type="checkbox"/> Noted CNS Hyperarousal	<input type="checkbox"/> Sleep: Insomnia / Hypersomnia	<input type="checkbox"/> Social Avoidance / Withdrawal
<input type="checkbox"/> Panic Attacks	<input type="checkbox"/> Jumpy / Easily Started	<input type="checkbox"/> Elevated Noise Sensitivity	<input type="checkbox"/> Inability to Trust Others
<input type="checkbox"/> Excessive Fear or Phobias	<input type="checkbox"/> Nightmares or Night Terrors	<input type="checkbox"/> Elevated Touch Sensitivity	<input type="checkbox"/> Paranoid / Suspicious
MOOD-RELATED SYMPTOMS, BEHAVIORAL PROBLEMS & SUICIDALITY/SELF-INJURY			
<input type="checkbox"/> Chronic Irritability	<input type="checkbox"/> Loss of Interest in Activities	<input type="checkbox"/> High Impulsivity	<input type="checkbox"/> Prior Suicidal Ideation
<input type="checkbox"/> Angry Outbursts	<input type="checkbox"/> Poor / Inconsistent ADL's	<input type="checkbox"/> Chronic Relationship Losses	<input type="checkbox"/> Prior Suicide Attempts
<input type="checkbox"/> High Hostility / Aggression	<input type="checkbox"/> Mood Swings / Lability	<input type="checkbox"/> Gross Social Deficits	<input type="checkbox"/> Borderline PD Traits
<input type="checkbox"/> Sadness / Depression	<input type="checkbox"/> Manic / Hypo-Manic Symptoms	<input type="checkbox"/> Suspected Cognitive Deficits	<input type="checkbox"/> Antisocial PD Traits
<input type="checkbox"/> Fatigue / Lethargy	<input type="checkbox"/> Racing Thoughts	<input type="checkbox"/> Self-Injury / Self-Mutilation	<input type="checkbox"/> Highly Dangerous / Homicidal

NAME: \_\_\_\_\_ TDOC ID: \_\_\_\_\_ DATE: \_\_\_\_\_

**AUDITORY / VISUAL HALLUCINATIONS & DELUSIONS**

<input type="checkbox"/> <b>Delusions:</b> <input type="checkbox"/> Grandiose <input type="checkbox"/> Persecutory <input type="checkbox"/> Religious <input type="checkbox"/> Other: _____	<input type="checkbox"/> N/A <input type="checkbox"/> <input type="checkbox"/> Somatic	<input type="checkbox"/> <b>Visual Hallucinations:</b> <input type="checkbox"/> N/A _____ _____	<input type="checkbox"/> <b>Auditory Hallucinations:</b> _____ _____ Type →	<input type="checkbox"/> Olfactory <input type="checkbox"/> Tactile <input type="checkbox"/> Threatening <input type="checkbox"/> Commands to hurt: __Self __Others	<input type="checkbox"/> Hostile <input type="checkbox"/> Demeaning <input type="checkbox"/> Accusing
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**OTHER SYMPTOMS & STRESSORS**

<input type="checkbox"/> Poor appetite <input type="checkbox"/> Weight Loss <input type="checkbox"/> Eating Disorder	<input type="checkbox"/> Bizarre Behavior <input type="checkbox"/> Fecal / Blood Smearing <input type="checkbox"/> Suspected Gender Dysphoria	<input type="checkbox"/> Stress: Health Concerns <input type="checkbox"/> Stress: Family Concerns <input type="checkbox"/> Stress: Recent Losses	<input type="checkbox"/> Stress: Current/Future Sentencing <input type="checkbox"/> Other: _____
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Comments: \_\_\_\_\_

**XIII. DIAGNOSTIC IMPRESSIONS (DSM-5):**

**(To be completed by a licensed mental health professional only)**

F-CODE	COMPLETE DIAGNOSTIC LABEL	MODIFIERS
F	1.	
F	2.	
F	3.	
F	4.	
F	5.	
F	6.	
F	7.	
F	8.	

Comments: \_\_\_\_\_

Rule-out diagnoses to be considered by treating provider(s) and therapist during ongoing treatment: \_\_\_\_\_

Additional comments/concerns/observations (continued from prior pages): \_\_\_\_\_

**XIV. MENTAL HEALTH TREATMENT RECOMMENDATIONS**

No mental health treatment/treatment plan currently indicated (based on presenting symptoms).  
 Inmate refusing mental health services due to: \_\_\_\_\_  
 Pharmacotherapy indicated and referral placed. -OR-  Psychotropics prescribed: \_\_\_\_\_  
 Inmate referred for psychotherapy:  Individual  Group  TCOM  GRTH  TC/PC  Veteran's  SLU  Other: \_\_\_\_\_  
 Level of care of assigned:  I  II  III  IV  V (Immediate placement on Suicide Precaution/Mental Health Seclusion)  
 Inmate referred to medical for: \_\_\_\_\_  
 Other recommendations/considerations: \_\_\_\_\_

_____ Qualified Mental Health Provider (Completing Sections I – XI Only)	_____ Staff Title	_____ Date	_____ Time
_____ Licensed Mental Health Signature	_____ Staff Title	_____ Date	_____ Time



TENNESSEE DEPARTMENT OF CORRECTION
MENTAL HEALTH TREATMENT PLAN REVIEW

INSTITUTION

INMATE:
TDOC ID:
DATE OF BIRTH:
GENDER:

TREATMENT PLAN REVIEW DUE ON:
VOLUNTARY INVOLUNTARY LEVEL OF CARE
INPATIENT OUTPATIENT
SPECIAL UNIT: SPECIFY:

LEVEL OF CARE: II III IV V

DSM-5 DIAGNOSIS:

Blank lines for DSM-5 diagnosis entry.

TARGET SYMPTOMS/PROBLEMS:

- 1) SAME REVISED
2) SAME REVISED
3) SAME REVISED
4) SAME REVISED
5) SAME REVISED

PROGRESS ACCORDING TO TREATMENT PLAN GOALS:

- 1) NONE MINIMAL IMPROVED DISCHARGE GOAL
2) NONE MINIMAL IMPROVED DISCHARGE GOAL
3) NONE MINIMAL IMPROVED DISCHARGE GOAL
4) NONE MINIMAL IMPROVED DISCHARGE GOAL
5) NONE MINIMAL IMPROVED DISCHARGE GOAL

NEW/REVISED TREATMENT MODALITY AND FREQUENCY:

Blank lines for treatment modality and frequency entry.

INMATE SIGNATURE / CONSERVATOR SIGNATURE DATE
STAFF SIGNATURE TITLE DATE
STAFF SIGNATURE TITLE DATE
RECEIVING PROVIDER DATE